

JC

May-26-2004 01:12pm From-

JCWSCS 27 MAY 2004  
+ T-1200 P.001/003 F-131



**FISH & NEAVE** FACSIMILE TRANSMISSION

TO	COMPANY/FIRM	RECIPIENT FAX	RECIPIENT PHONE
Customer Service Center	USPTO Office of Initial Patent Examination	703.746.9195	

SENDER	DATE	SENDER'S FAX	SENDER'S PHONE
Lorraine Coke	May 26, 2004	650.566.4175	650.617.4055

CLIENT	RE:	TIME	PAGES (INCLUDING COVER)
001416.0001	Docket No. FAN-1		3

MESSAGE

Applicants: : Gary S. Fanton et al.  
Application No. : 10/782,489 Confirmation No.: 5632  
Filed : February 18, 2004  
For : APPARATUS AND METHODS FOR CLEARING  
OBSTRUCTIONS FROM SURGICAL CUTTING INSTRUMENTS

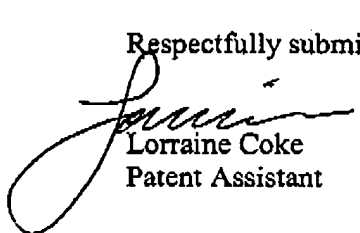
Dear Sir or Madam:

Attached is a copy of a filing receipt received in the above-identified patent application. The filing receipt incorrectly cites the title as "... FROM SURGICAL CUTTING INSTRUMENT". The correct title as listed on the specification and indicated above is "... FROM SURGICAL CUTTING INSTRUMENTS". The word "instrument" should be the plural "instruments". Accordingly, applicants request that the Patent and Trademark Office issue a corrected filing receipt.

As the error is believed to be an error of the Patent and Trademark Office, no fee is enclosed.

Please confirm by return facsimile your receipt of this request and notify us if you need any additional information.

Respectfully submitted,

  
Lorraine Coke  
Patent Assistant

This communication is intended only for the use of the addressee and may contain information that is privileged and confidential. If you are not the intended recipient, you are hereby notified that the unauthorized dissemination of the communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone. If not completely received, please call back at 212.596.9300 as soon as possible.

525 UNIVERSITY AVENUE, PALO ALTO, CA 94301 TEL 650.617.4000 FAX 650.617.4090  
1251 AVENUE OF THE AMERICAS, NEW YORK, NY 10020 TEL 212.596.9000 FAX 212.596.9090  
1889 PENNSYLVANIA AVENUE, WASHINGTON, DC 20006 TEL 202.303.2400 FAX 202.303.2490



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/782,489	02/18/2004	3763	811	FAN-1	16	53	6

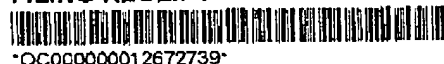
CONFIRMATION NO. 5632

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

**RECEIVED**

MAY 18 2004

## FILING RECEIPT



\*OC000000012672739\*

**REMINDER - PLEASE INITIAL  
THIS DOCUMENT TO INDICATE  
THAT YOU HAVE SEEN IT**

FISH & NEAVE PATENT DEPT.  
REFERRED TO DAO  
NOTED BY DAO

Date Mailed: 05/14/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gary S. Fanton, Portola Valley, CA;  
John E. Ashley, San Francisco, CA;

**ORIG. / COPY  
SENT TO  
CALIFORNIA**

BY L. Garcia

Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 05/14/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Apparatus and methods for clearing obstructions from surgical cutting instrument

## Preliminary Class

**RECEIVED**  
FISH & NEAVE  
Patent Dept.

MAY 21 2004

PALO ALTO CA.  
REFERRED TO patents  
NOTED BY

**NOTE**

604

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications when the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been issued under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date hereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to export control and the national security or the export of technical data. Licensees should apprise themselves of national export regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-122)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a license order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(p).

**BEST AVAILABLE COPY**